

# Observations (3): Satellite Radiance Data Assimilation

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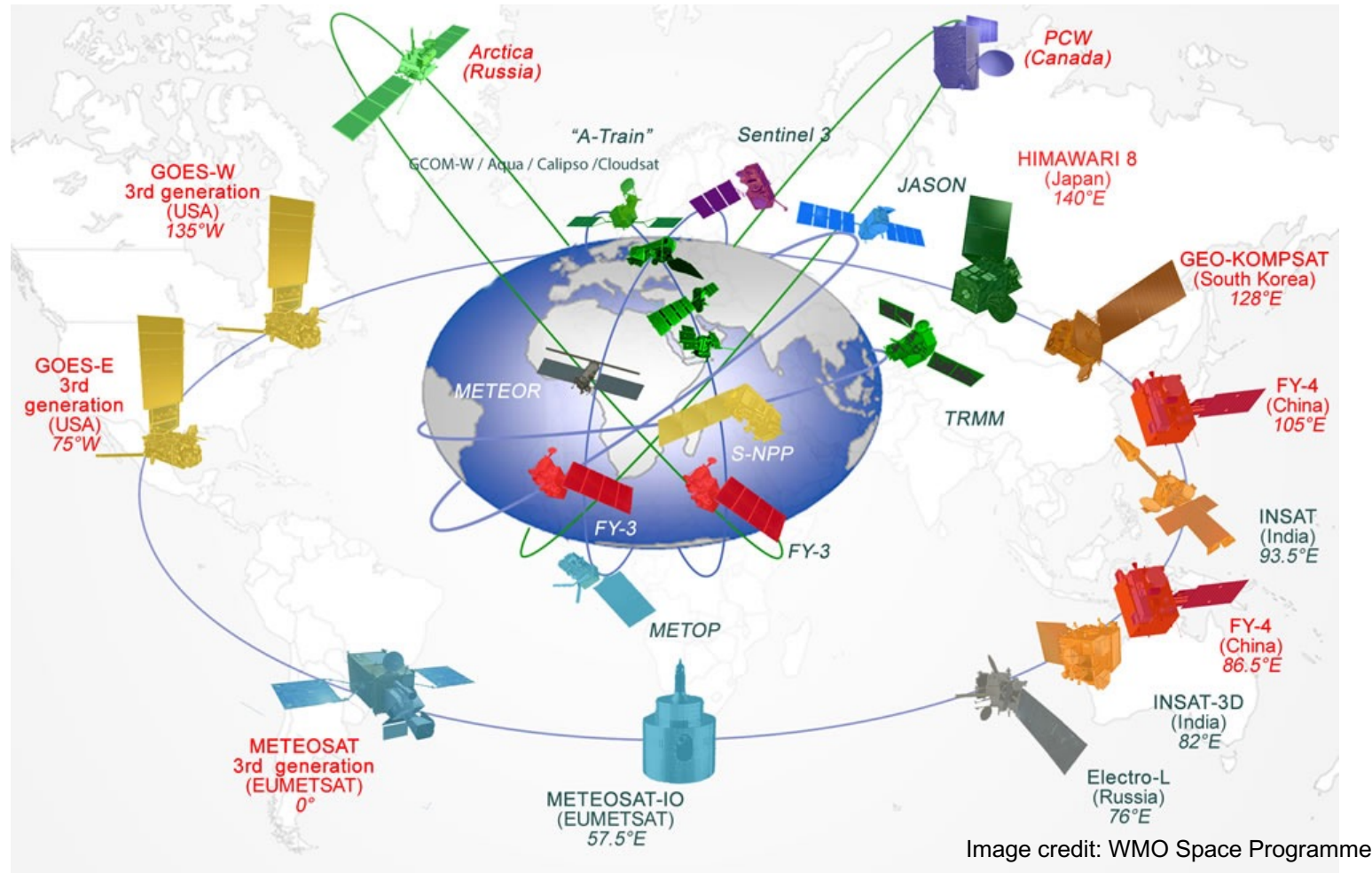
MPAS-JEDI Tutorial, NCU, 25-26 October, 2023



# Outline

- Principle of satellite measurements
- Radiative Transfer
- Variational Bias Correction and All-sky radiance DA
- Radiance DA setting with MPAS-JEDI

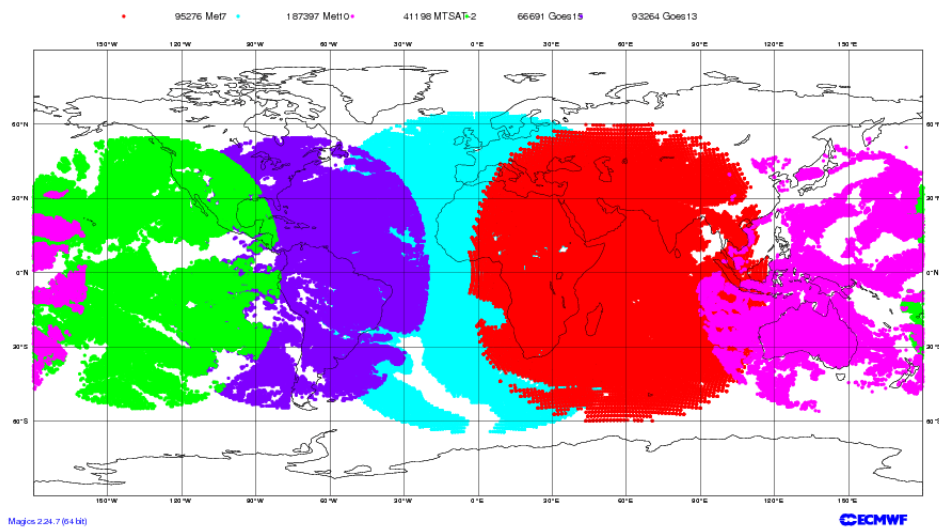
# Environmental monitoring satellites



Polar-orbiting satellites vs. Geostationary satellites

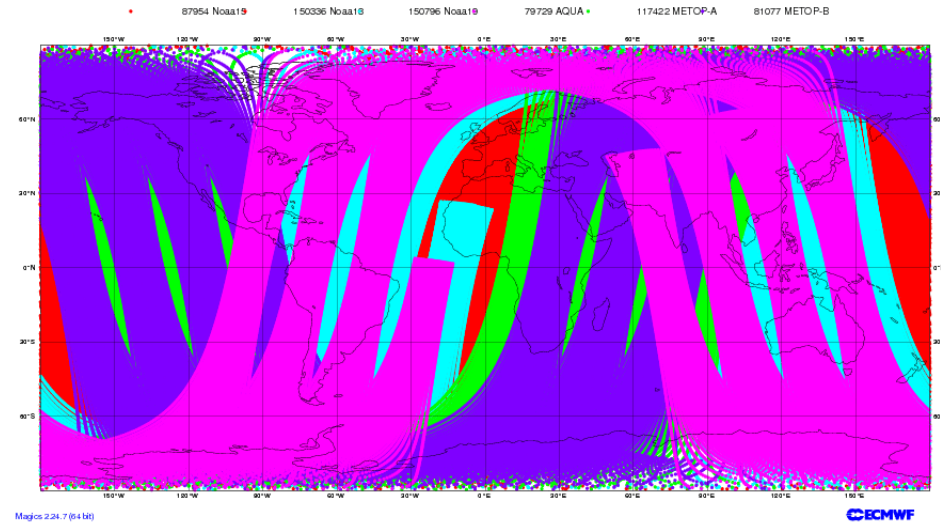
# AMV from Geostationary satellites

ECMWF Data Coverage (All obs DA) - GRAD  
05/Jul/2015; 06 UTC  
Total number of obs = 483826

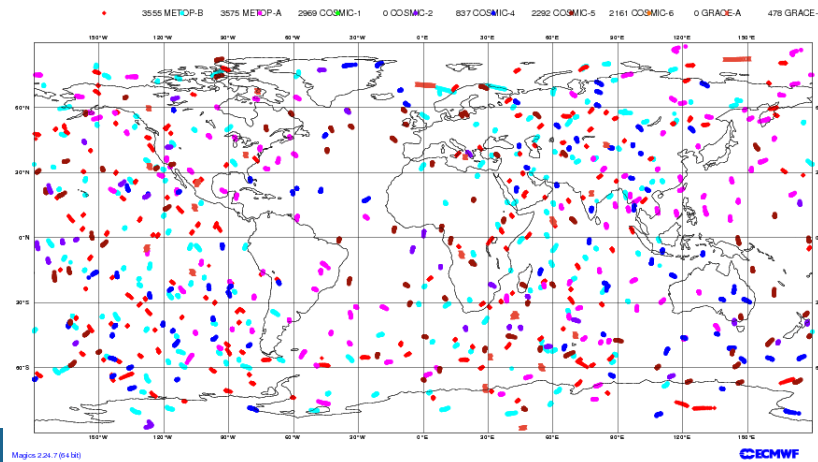


# Polar-orbiting satellites

ECMWF Data Coverage (All obs DA) - AMSU-A  
05/Jul/2015; 06 UTC  
Total number of obs = 667314

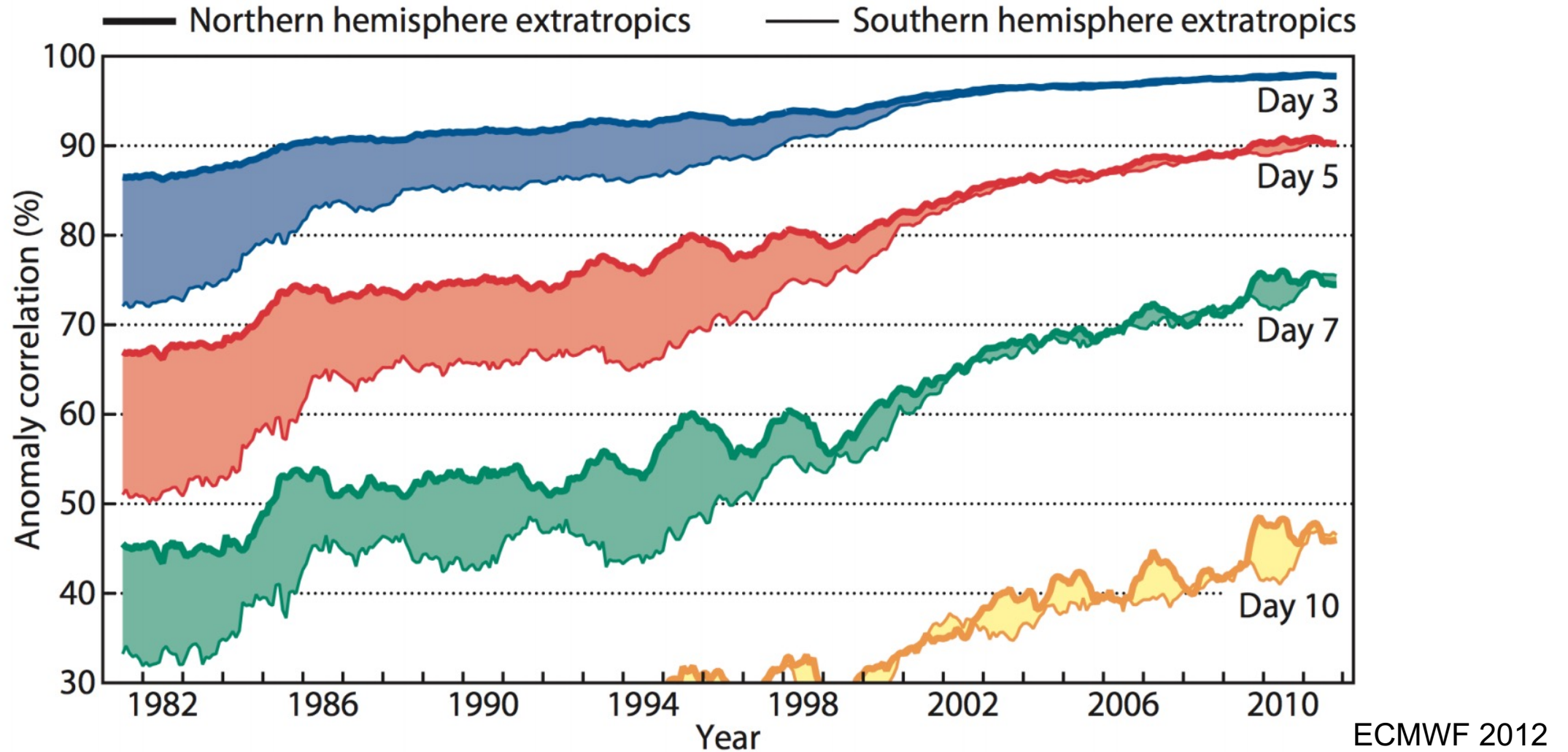


ECMWF Data Coverage (All obs DA) - GPSRO  
05/Jul/2015; 06 UTC  
Total number of obs = 15867



# GNSS Radio Occultation

# Global forecast improvement over time at ECMWF



A – Assimilated; P – Passively monitored; E – Under evaluation; X – Failed or data excluded due to quality/transmission issues; ☁ – All-sky treatment  
 Changes since ITSC-23 are highlighted through orange shading.

Satellite	Present orbit position (LTAN, approx.)	MW temperature sounder	MW humidity sounder	MW imager	IR broadband sounder or imager	IR hyper-spectral sounder
NOAA-15	19:30	A ☁	X		X	
NOAA-18	22:30	A ☁	X		X	
NOAA-19	20:30	A ☁	A ☁		P	
NOAA-20	13:30	A	A			A
NOAA-21	13:30	E	E			
Aqua	13:30	X	X			A
S-NPP	13:30	A	A			A
Metop-B	21:30	A ☁	A ☁		X	A
Metop-C	21:30	A ☁	A ☁			A
FY-3C	19:00	X	A ☁	X		
FY-3D	14:00	P ☁	A ☁	P ☁ & X		E
FY-3E	17:30	E ☁	A ☁			
DMSP-F17	18:30		A ☁	A ☁		
DMSP-F18	16:00		A ☁	P ☁ & E		
GCOM-W1	13:30			A ☁		
GPM	Mid-incl.		A ☁	A ☁		
Meteosat-9	45.5°E				A	
Meteosat-11	0°				A	
GOES-16	75.2°W				A	
GOES-18	137°W				A	
Himawari-9	140.7°E				A	
FY-4A	104.7°E					E
FY-4B	133°E					E

## Current status (2023) of satellite radiance DA at ECMWF

Niels Bormann, ITSC-24

# Satellite instruments/sensors

## Types of sensors

- Passive
- Active
- Radio Occultation

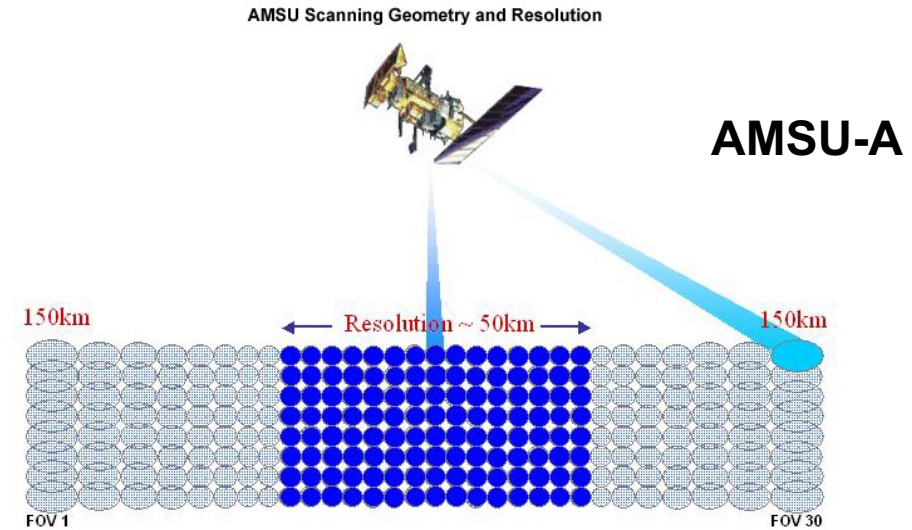
Scan strategies and viewing geometry affect coverage and field-of-view (FOV) resolution

## cross-track scan

- Resolution degrades toward the edge of the swath because the viewing angle changes across the swath

## conical scan

- Constant ground resolution
- Generally narrower swaths than cross-track scan swaths



CIMSS

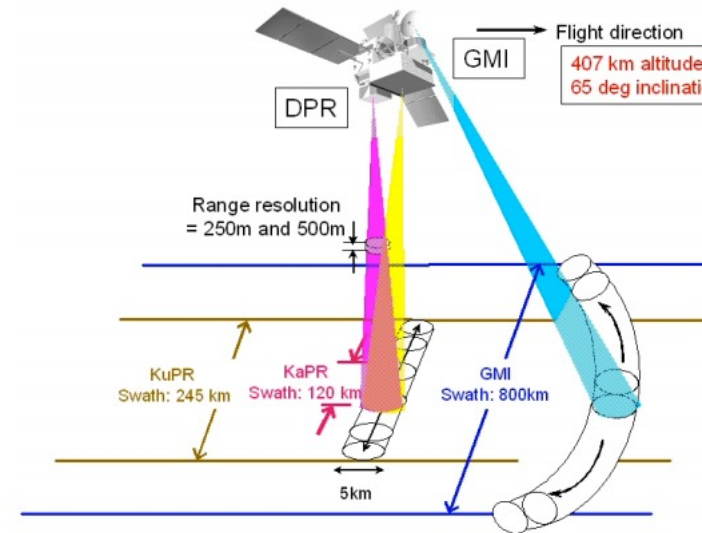
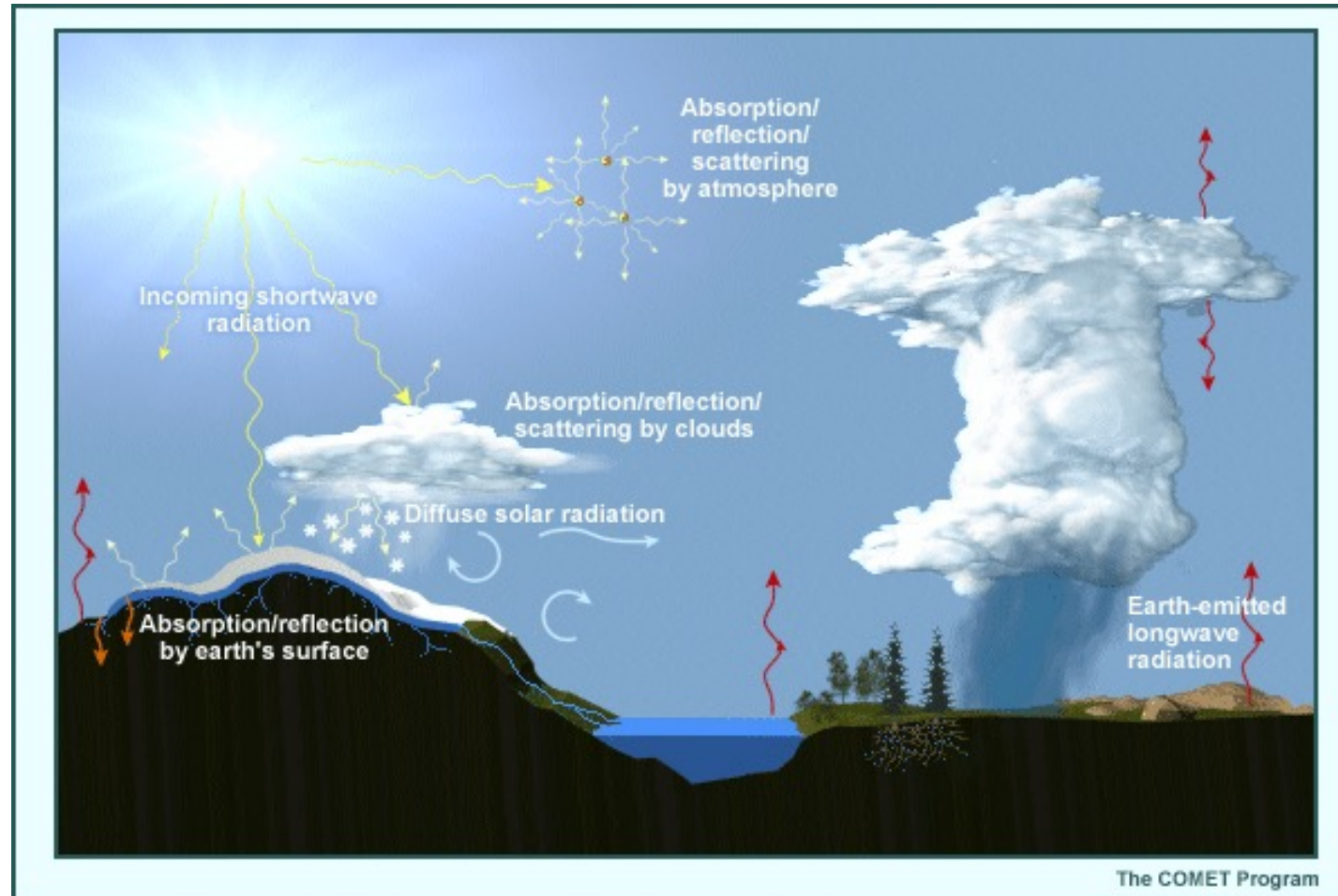


Figure 2. GPM swath measurements

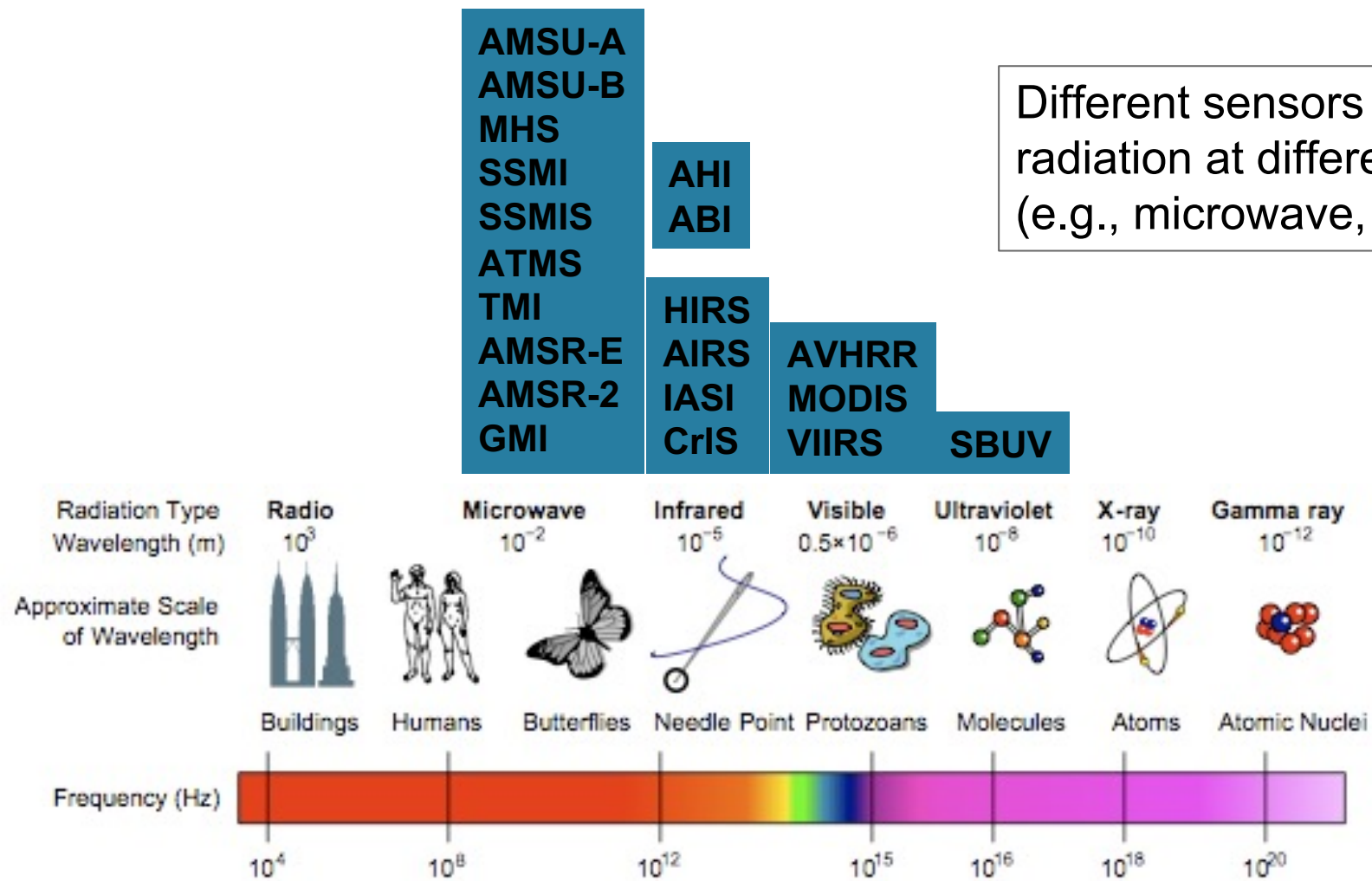
# What do satellite instruments measure?

- **Satellite passive sensors** observe radiation emitted and scattered from Earth's surface and atmosphere at **discrete wavelength intervals**





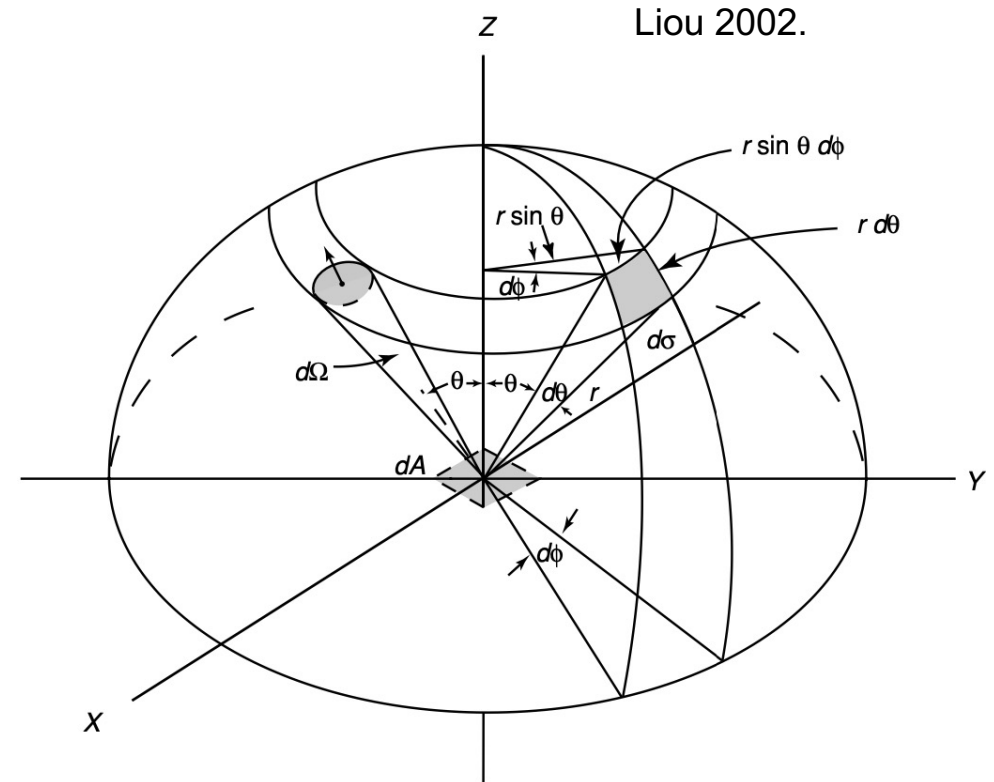
# Passive Sensors from Weather/Environment Satellites



Different sensors measure radiation at different wavelengths (e.g., microwave, Infrared, visible)

# What is radiance?

- Radiance ( $L$ ) is the amount of energy per unit area per unit time per unit solid angle emitted at a wavelength  $\lambda$  (or frequency  $\nu$ )
  - Recall,  $c = \lambda\nu$ , where  $c$  is the speed of light.
- Physically, can think of radiance as the “brightness” of an object
- Radiance is related to geophysical atmospheric variables by the radiative transfer equation
- Radiances are often converted to **brightness temperature** (equivalent blackbody temperature, by inverting Planck function)



**Figure 1.3** Illustration of a differential solid angle and its representation in polar coordinates. Also shown for demonstrative purposes is a pencil of radiation through an element of area  $dA$  in directions confined to an element of solid angle  $d\Omega$ . Other notations are defined in the text.

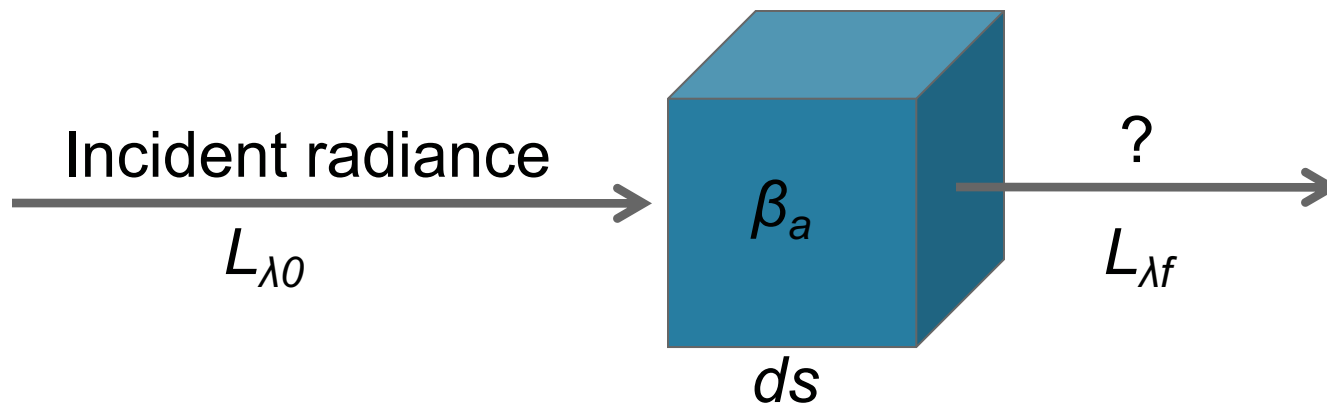
Hence, the differential solid angle is

$$d\Omega = d\sigma/r^2 = \sin\theta d\theta d\phi, \quad (1.1.5)$$

where  $\theta$  and  $\phi$  denote the zenith and azimuthal angles, respectively, in polar coordinates.

# Atmospheric Transmittance

- Consider radiation at wavelength  $\lambda$  with radiance  $L_{\lambda 0}$  incident upon an absorbing medium of thickness  $ds$ 
  - Use an absorption coefficient ( $\beta_a$ ; units  $\text{m}^{-1}$ ) to quantify degree of absorption
- Ignore emission from the medium and scattering
- What is the radiance on the other side of the surface?



# Atmospheric Transmittance

- Beer's Law gives the amount of radiation emerging from the material:

$$L_{\lambda f} = L_{\lambda 0} \exp \left[ - \int_{s_1}^{s_2} \beta_a(s) ds \right]$$

- The ratio of the amount of radiation that emerges from the cube to the amount that entered is the transmittance:

$$\tau_{\lambda} = \frac{L_{\lambda f}}{L_{\lambda 0}} = \exp \left[ - \int_{s_1}^{s_2} \beta_a(s) ds \right]$$

- Transmittance in the real atmosphere varies in space (especially in the vertical) and time
- Letting  $a_{\lambda}$  denote the absorption of the medium at wavelength  $\lambda$ , then in the absence of scattering

$$a_{\lambda} + \tau_{\lambda} = 1$$

# Radiative Transfer

$$L(\nu) = \int_0^\infty B(\nu, T(z)) \left[ \frac{d\tau(\nu)}{dz} \right] dz + \text{Surface} + \text{Cloud/Rain Aerosol}$$

TOA radiance at frequency  $\nu$       Planck function      Atmospheric Absorption (weighting function)      Emission/reflection      Diffusion/scattering

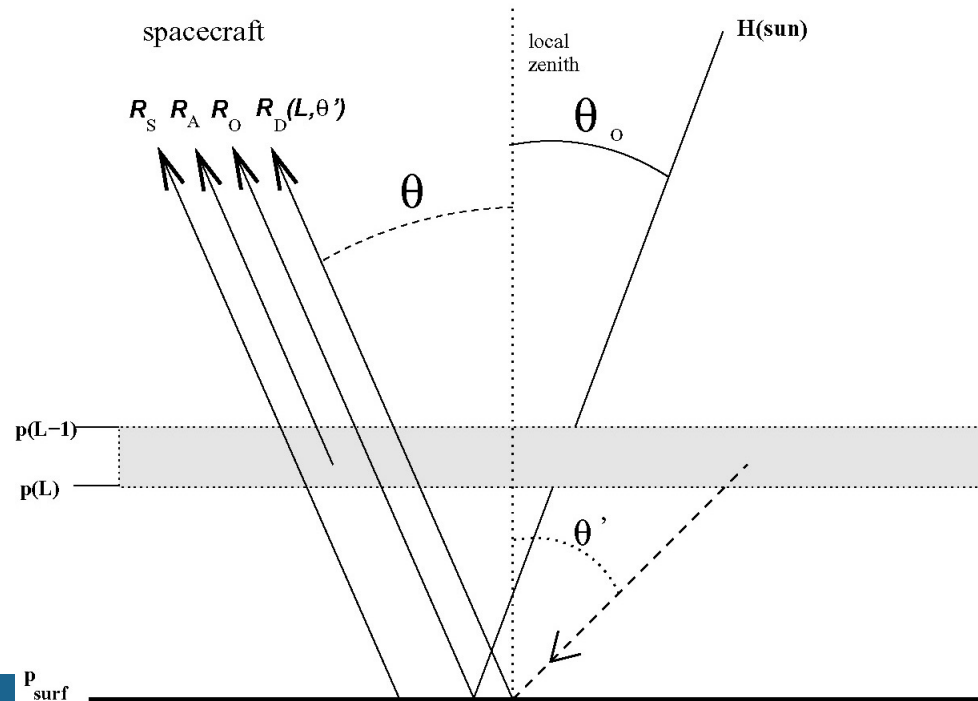
Surface emission  $R_s$

Up-welling atmosphere emission  $R_A$

Reflected solar radiation  $R_O$

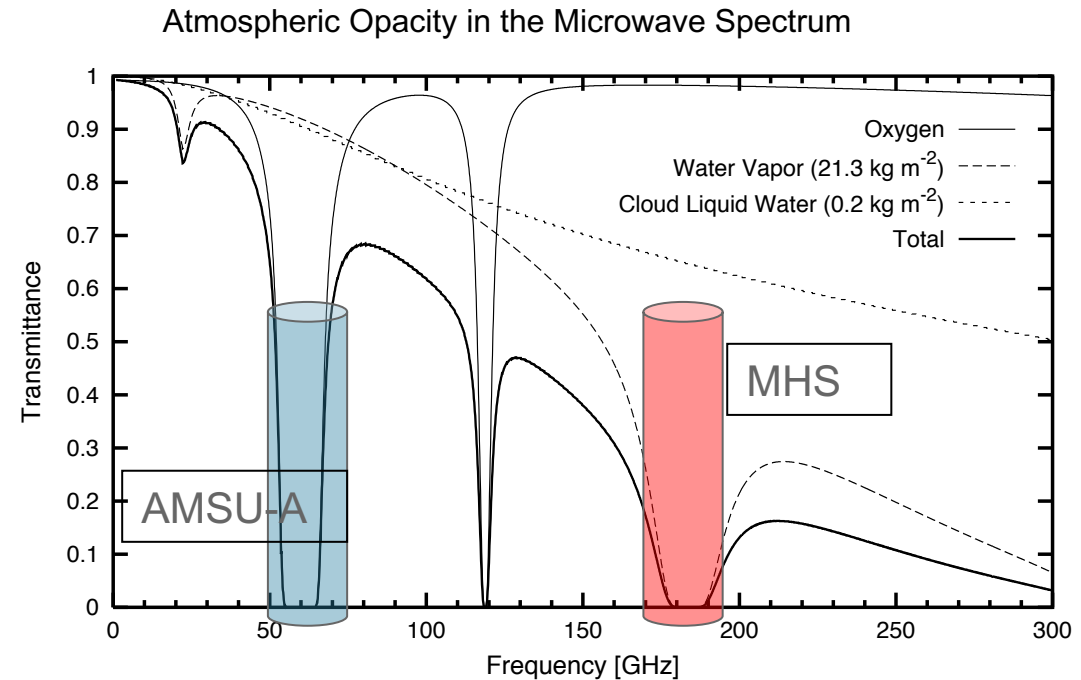
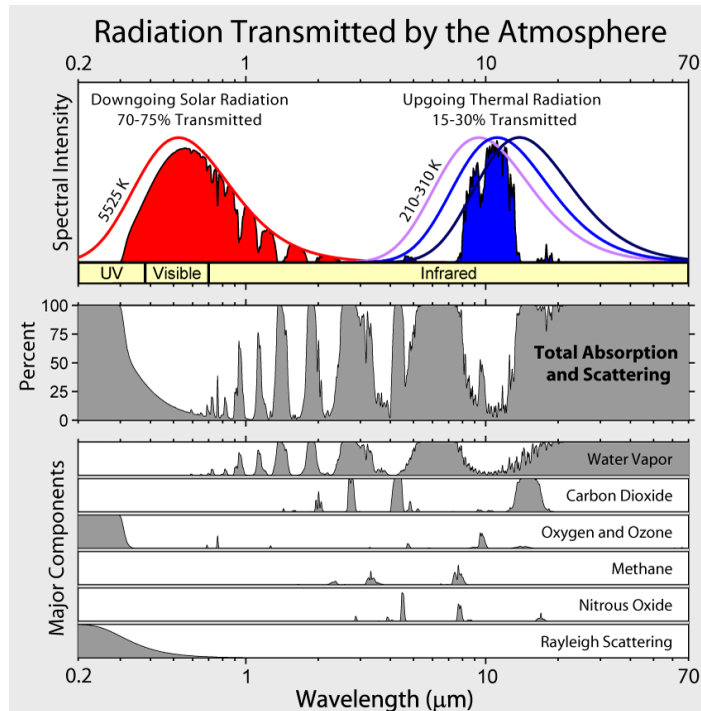
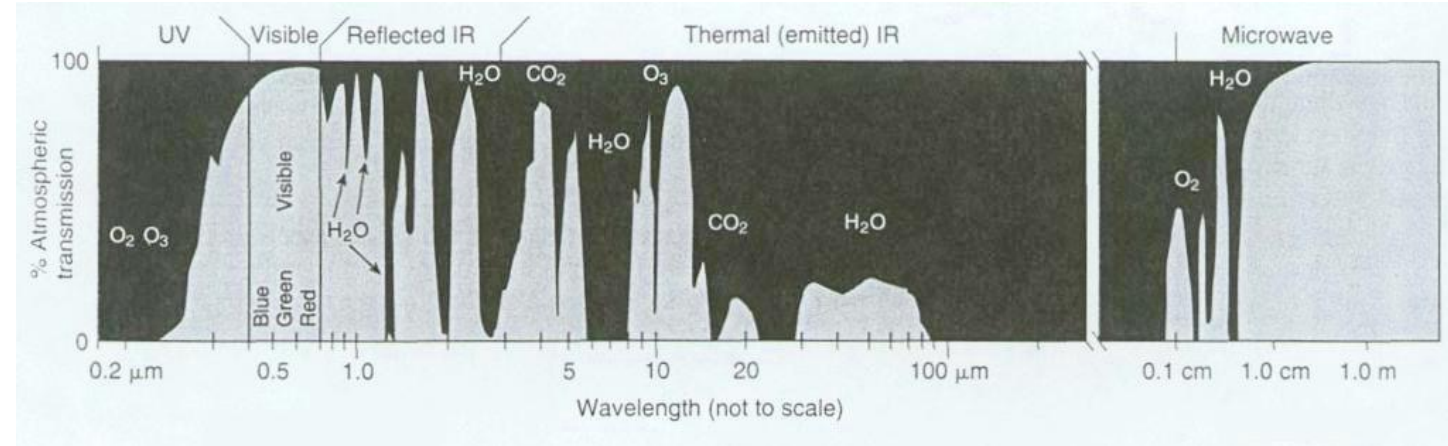
Down-welling & reflected atmos.

Emission ( $R_D$ )



# Atmospheric gas absorption-transmission

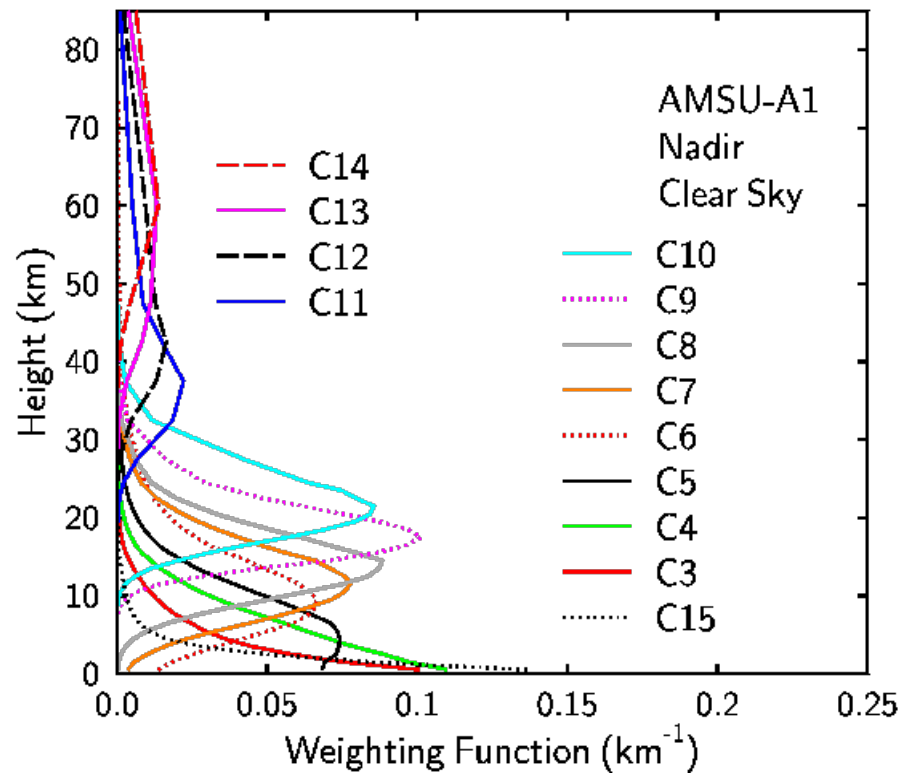
Satellite sensors are designed to make use of the frequency-dependent atmospheric absorption



# Weighting functions

Weighting functions indicate the contribution to the outgoing radiance from various layers of the atmosphere

Weighting functions are frequency (channel) dependent



## Channel selection for NWP data assimilation

- **Atmospheric sounding channels** (measured radiance has no contribution from the surface)
- **Window channels** are sensitive to properties associated with earth and ocean surfaces as well as clouds

# yaml setting for radiative transfer model

```
_clear crtm: &clearCRTMObsOperator
  name: CRTM
  SurfaceWindGeoVars: uv
  Absorbers: [H2O, O3]
  linear obs operator:
    Absorbers: [H2O]
  obs options: &CRTMObsOptions
  EndianType: little_endian
  CoefficientPath: ./crtm_coeffs_v2/
  IRVISlandCoeff: USGS
```

```
- obs space:
  <<: *ObsSpace
  name: amsua_n18
  obsdatain:
    engine:
      type: H5File
      obsfile: ./amsua_n18_obs_2018041500.h5
  obsdataout:
    engine:
      type: H5File
      obsfile: ./obsout_da_amsua_n18.h5
  simulated variables: [brightnessTemperature]
  channels: &amsua_n18_channels 1-15
  obs error: *ObsErrorDiagonal
  obs operator:
    <<: *clearCRTMObsOperator
  obs options:
    <<: *CRTMObsOptions
    Sensor_ID: amsua_n18
  get values:
```



# Settings for channel selection and quality control

```
obs filters:  
- filter: PreQC  
  maxvalue: 0  
# Useflag check #amsua-n18  
- filter: Bounds Check  
  filter variables:  
    - name: brightnessTemperature  
      channels: *amsua_n18_channels  
  test variables:  
    - name: ObsFunction/ChannelUseflagCheckRad  
      channels: *amsua_n18_channels  
      options:  
        channels: *amsua_n18_channels  
        use_flag: [-1, -1, -1, -1, 1,  
                  1, 1, 1, 1, -1,  
                  -1, -1, -1, -1, -1 ]  
      minvalue: 1.0e-12  
      action:  
        name: reject  
- filter: Background Check  
  threshold: 3.0  
<<: *multiIterationFilter
```

Much more you can set  
for quality control, but not able  
to cover too much this time

# Variational Bias Correction (VarBC)

Modeling errors for satellite radiances:

$$y = H(x_t) + B(\beta) + \varepsilon$$

$\langle \varepsilon \rangle = 0$   
 $B(\beta) = \sum_{i=1}^N \beta_i p_i$

Bias-correction coefficients

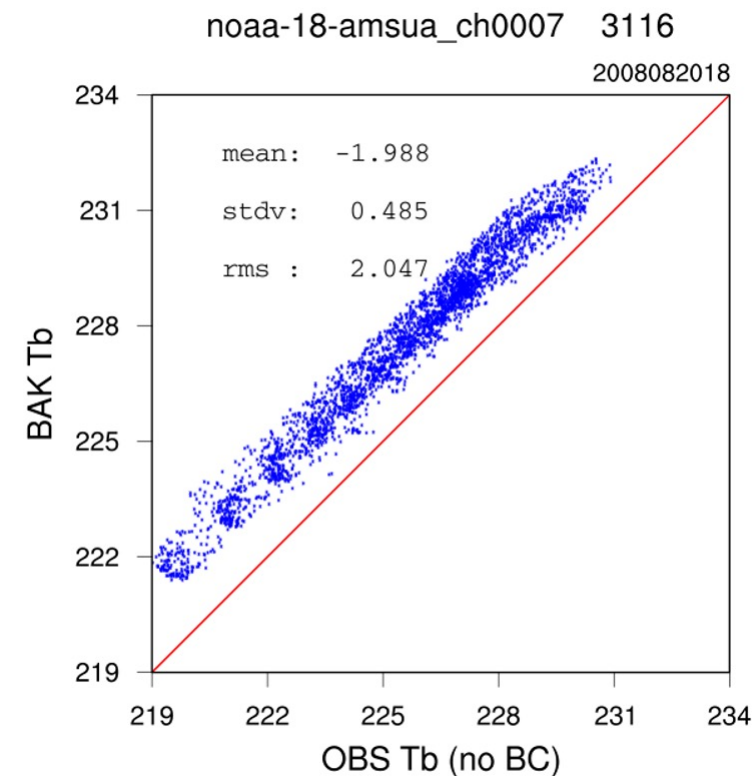
- Predictors: e.g.,
- Offset (i.e., 1)
  - Temperature lapse rate
  - Scan, Scan<sup>2</sup>, Scan<sup>3</sup>

$\mathbf{J}_b$ : background term for  $\mathbf{x}$

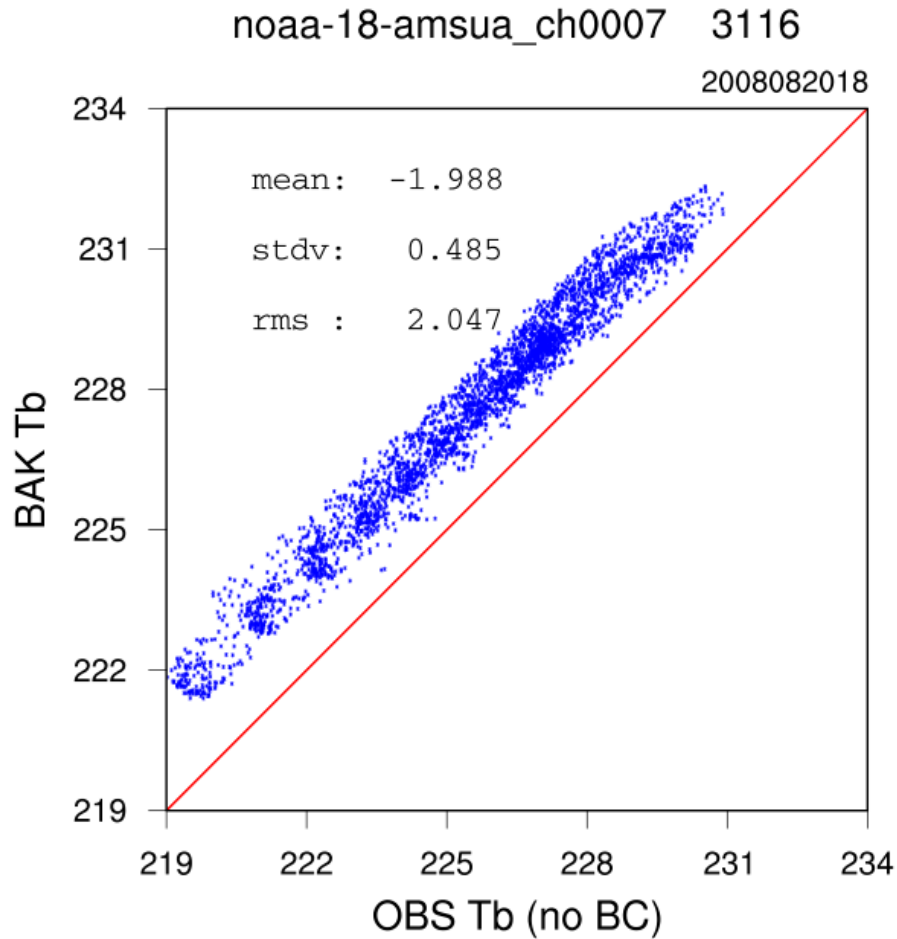
$\mathbf{J}_o$ : corrected observation term

$$\mathbf{J}(\mathbf{x}, \beta) = (\mathbf{x}_b - \mathbf{x})^T \mathbf{B}_x^{-1} (\mathbf{x}_b - \mathbf{x}) + [\mathbf{y} - H(\mathbf{x}) - B(\beta)]^T \mathbf{R}^{-1} [\mathbf{y} - H(\mathbf{x}) - B(\beta)] + (\beta_b - \beta)^T \mathbf{B}_\beta^{-1} (\beta_b - \beta)$$

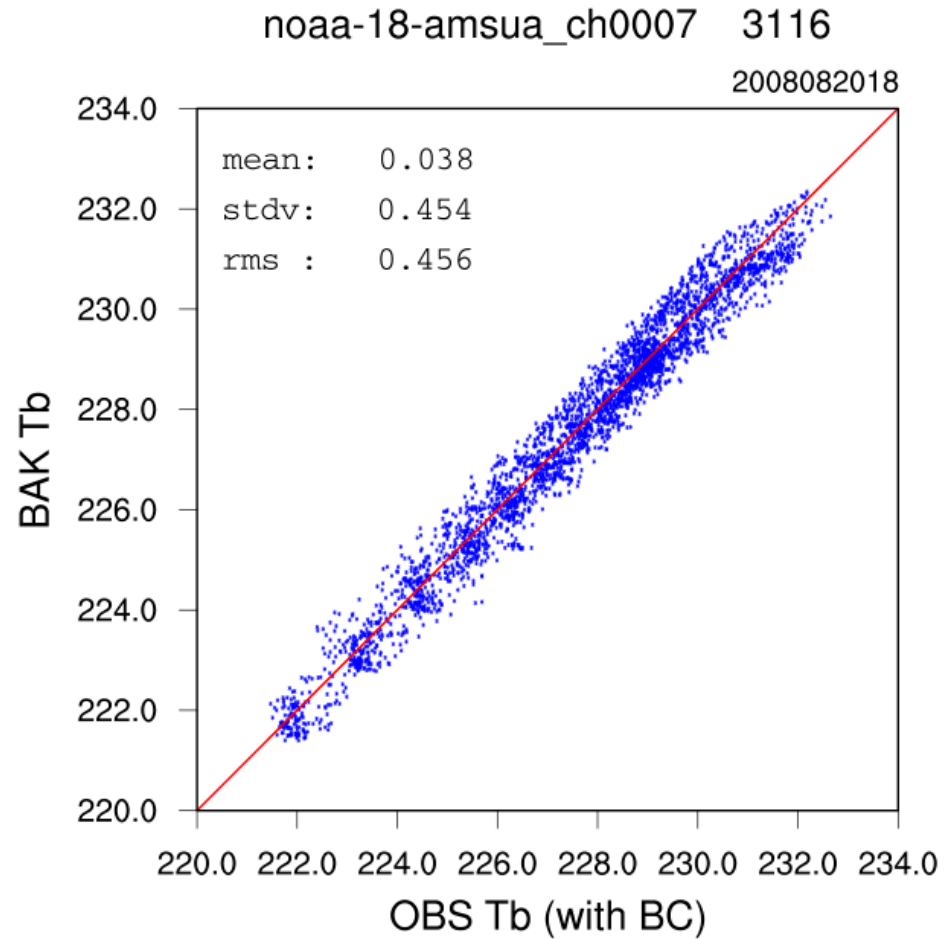
$\mathbf{J}_p$ : background term for  $\beta$



# Bias Correction



**No bias correction**



**With bias correction**

```
netcdf satbias_amsua_n18 {
dimensions:
    nchannels = 15 ;
    npredictors = 12 ;
variables:
    float bias_coeff_errors(npredictors, nchannels) ;
    float bias_coefficients(npredictors, nchannels) ;
    int channels(nchannels) ;
    int nchannels(nchannels) ;
        nchannels:suggested_chunk_dim = 15LL ;
    int npredictors(npredictors) ;
        npredictors:suggested_chunk_dim = 12LL ;
    float number_obs_assimilated(nchannels) ;
    string predictors(npredictors) ;

// global attributes:
    string :_ioda_layout = "ObsGroup" ;
    :_ioda_layout_version = 0 ;
```

```
predictors = "constant", "zenith_angle", "cloud_liquid_water",
    "lapse_rate_order_2", "lapse_rate",
    "cosine_of_latitude_times_orbit_node", "sine_of_latitude", "emissivity",
    "scan_angle_order_4", "scan_angle_order_3", "scan_angle_order_2",
    "scan_angle" ;
```

JEDI's bias correction coefficient file

# yaml setting for VarBC

```
obs bias:  
  input file: {{biasCorrectionDir}}/satbias_amsua_n18.h5  
  output file: {{OutDBDir}}{{MemberDir}}/satbias_amsua_n18.h5  
  variational bc:  
    predictors: &predictors3  
    - name: constant  
    - name: lapse_rate  
      order: 2  
      tlapse: &amsua18tlap {{fixedTlapmeanCov}}/amsua_n18_tlapmean.txt  
    - name: lapse_rate  
      tlapse: *amsua18tlap  
    - name: emissivity  
    - name: scan_angle  
      order: 4  
    - name: scan_angle  
      order: 3  
    - name: scan_angle  
      order: 2  
    - name: scan_angle
```

```
covariance:  
  minimal required obs number: 20  
  variance range: [1.0e-6, 10.]  
  step size: 1.0e-4  
  largest analysis variance: 10000.0  
prior:
```

```
  input file: {{biasCorrectionDir}}/satbias_cov_amsua_n18.h5  
  inflation:  
    ratio: 1.1  
    ratio for small dataset: 2.0  
  output file: {{OutDBDir}}{{MemberDir}}/satbias_cov_amsua_n18.h5
```

$$B(\beta) = \sum_{i=1}^N \beta_i p_i$$

$J_b$ : background term for  $x$

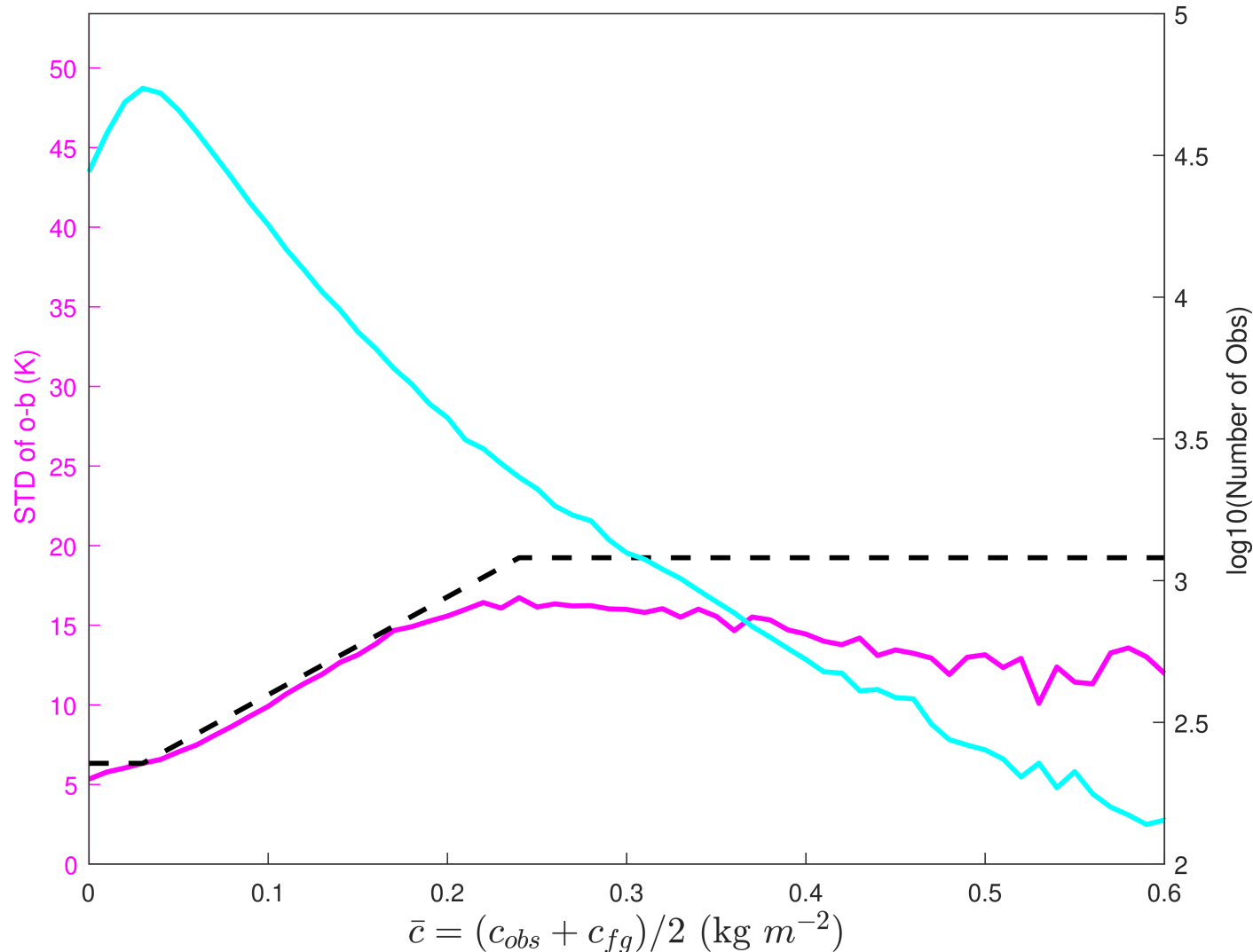
$J_o$ : corrected observation term

$$\mathbf{J}(\mathbf{x}, \beta) = (\mathbf{x}_b - \mathbf{x})^T \mathbf{B}_x^{-1} (\mathbf{x}_b - \mathbf{x}) + [\mathbf{y} - H(\mathbf{x}) - B(\beta)]^T \mathbf{R}^{-1} [\mathbf{y} - H(\mathbf{x}) - B(\beta)] \\ + (\beta_b - \beta)^T \mathbf{B}_\beta^{-1} (\beta_b - \beta)$$

$J_p$ : background term for  $\beta$

# Situation-dependent all-sky obs error model

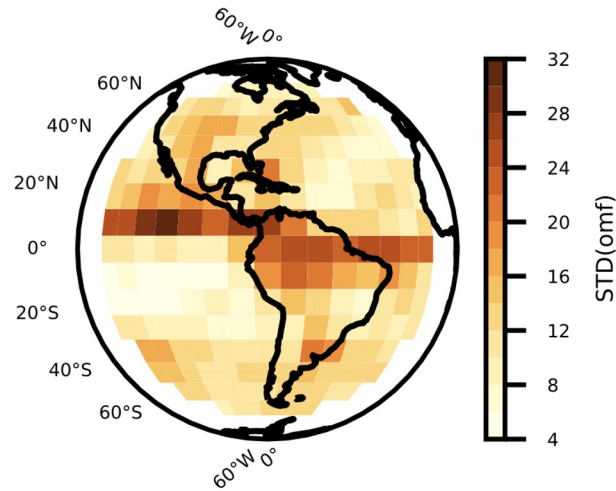
89GHz,  $\bar{c}_{clr}=0.03$ ,  $\bar{c}_{cld}=0.24$ ,  $\sigma_{clr}=6.33$ ,  $\sigma_{cld}=19.24$



All-sky obs error model for AMSU-A ch15:

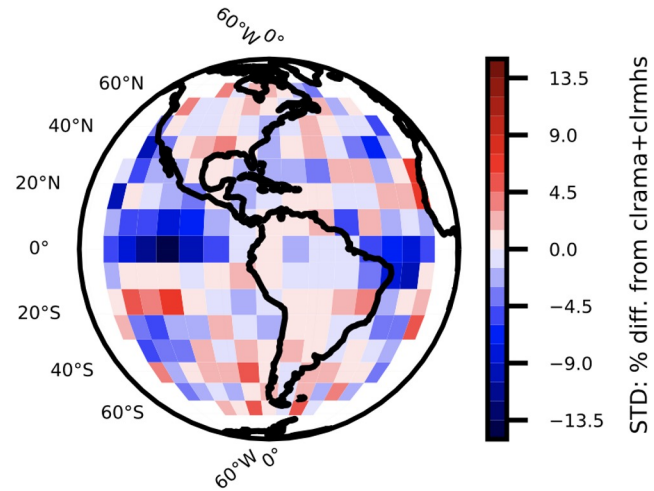
Obs error is a function of cloud liquid water path retrieved from channel 1 and 2's brightness temperature

(g) clrama+clrmhs  
BT13 (K)



**ABI**

(h) cldama+clrmhs  
BT13 (K)

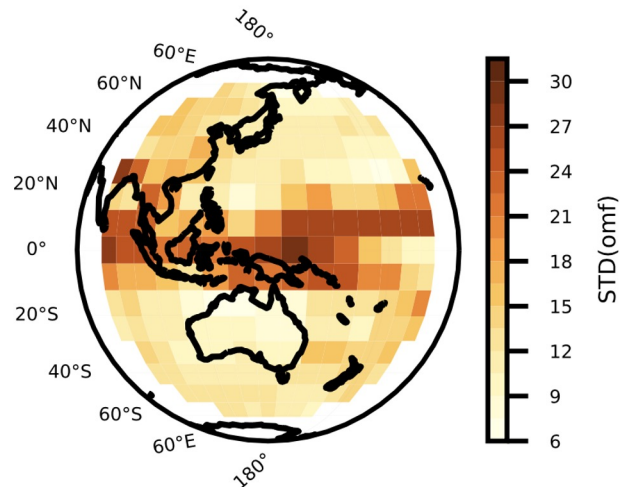


**Added value of  
all-sky AMSU-A**

Day-1 forecast

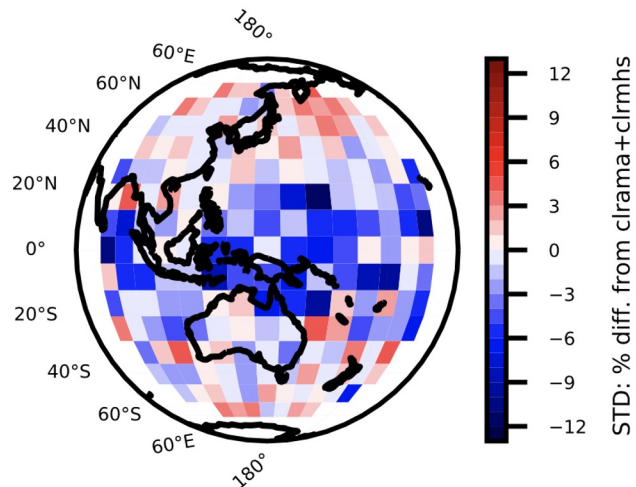
Error STD reduction

(g) clrama+clrmhs  
BT13 (K)



**AHI**

(h) cldama+clrmhs  
BT13 (K)



**Improvement  
concentrated in cloudy  
regions of Tropics  
Up to 12-14%**

# Concluding Remarks

- Radiance DA is complex
  - Cloudy radiative transfer, QC, bias correction, all-sky obs error model
  - Different complexity for assimilating different sensors' data
- Much more to explore for satellite DA in general
  - Visible band, near IR, active sensors, small satellites, ...
- JEDI framework allows much greater flexibility to configure/tune without code change, ease science discovery
  - e.g., you can combine the use of CRTM and RTTOV in the same run!