

# Observation(2): Assimilating conventional observations in MPAS-JEDI

*Junmei Ban*

*Prediction, Assimilation, and Risk Communication Section  
Mesoscale & Microscale Meteorology Laboratory  
National Center for Atmospheric Research*



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# Overview

- Assimilating conventional/non-radiance observation
  - Setting-up a 3DEnVar run
  - Making a 3DEnVar run
- Results diagnostics

# Setting up a 3DEnVar run

$$J(\mathbf{x}) = \frac{1}{2}(\mathbf{x} - \mathbf{x}_b)^T \mathbf{B}^{-1}(\mathbf{x} - \mathbf{x}_b) + \frac{1}{2}[\mathbf{H}(\mathbf{x}) - \mathbf{y}]^T \mathbf{R}^{-1}[\mathbf{H}(\mathbf{x}) - \mathbf{y}]$$

$$\mathbf{B} = \beta_s \mathbf{B}_s + \beta_e \mathbf{L} \circ \mathbf{B}_e,$$

## *Inputs:*

$x_b$ : background fields

$y$ : observations

$R$ : observation error covariance matrix

$B$ : background error covariance matrix

----from forecast

----from obs2ioda converter

----from obs file, or defined in yaml

----for pure 3DEnVar, it determined from ensemble forecasts; also need localization input

## *Output:*

$x$ : the analysis

...

# Setting up a yaml file (focus on observations)

observations:

observers:

- obs space:

  - name: **Aircraft**

  - obs error:

  - obs operator:

  - obs filters:

- obs space:

  - name: **GnssroRefNCEP**

  - obs error:

  - obs operator:

  - obs filters:

- obs space:

  - name: **Satwind**

...

# Aircraft

```
79 observations:
80   observers:
81     - obs space:
82       name: Aircraft
83       obsdatain:
84         engine:
85           type: H5File
86           obsfile: ./aircraft_obs_2018041500.h5
87       obsdataout:
88         engine:
89           type: H5File
90           obsfile: ./obsout_da_aircraft.h5
91       simulated variables: [airTemperature, windEastward, windNorthward, specificHumidity]
92   obs error:
93     covariance model: diagonal
94   obs operator:
95     name: VertInterp
96   obs filters:
97     - filter: PreQC
98       maxvalue: 3
99     - filter: Background Check
100       threshold: 3.0
```

## PreQC:

The quality markers are assigned by various data pre-processing software.

Here, PreQC is assigned from obs2ioda-v2 converter in subroutine filter\_obs\_conv (as in GSI's read\_prepbufr.f90)

# Satellite wind

```
152  obs error:
153    covariance model: diagonal
154  obs operator:
155    name: VertInterp
156    observation alias file: obsop_name_map.yaml
157  obs filters:
158  - filter: PreQC
159    maxvalue: 3
160  # Assign the initial observation error, based on height/pressure
161  - filter: Perform Action
162    filter variables:
163    - name: windEastward
164    - name: windNorthward
165    action:
166      name: assign error
167      error function:
168        name: ObsFunction/ObsErrorModelStepwiseLinear
169        options:
170          xvar:
171            name: MetaData/pressure
172            xvals: [100000, 95000, 80000, 65000, 60000, 55000, 50000, 45000, 40000, 35000, 30000, 25000, 20000, 15000, 10000]
173            errors: [1.4, 1.5, 1.6, 1.8, 1.9, 2.0, 2.1, 2.3, 2.6, 2.8, 3.0, 3.2, 2.7, 2.4, 2.1]
174  - filter: Bounds Check
175    filter variables:
176    - name: windEastward
177    - name: windNorthward
178    test variables:
179    - name: ObsErrorData/windEastward
180    - name: ObsErrorData/windNorthward
181    minvalue: 0.0
182    maxvalue: 200.0
183  - filter: Gaussian Thinning
184    horizontal_mesh: 145.0
185  - filter: Background Check
186    threshold: 3.0
```

## Filter Actions/Assign error: <ufo/src/ufo/filters/actions/AssignError.cc>

The error estimates of observations flagged by the filter are set to a specified value. This can be either a constant (specified using the error parameter option) or a variable (specified using the error function option).

# Gnssro

```
115     obs operator:  
116         name: GnssroRefNCEP  
117         obs options:  
118             use_compress: 0  
119     obs filters:  
120     - filter: Domain Check  
121         where:  
122     - variable:  
123         name: MetaData/height  
124         minvalue: 0.0  
125         maxvalue: 30000.0  
126     - variable:  
127         name: MetaData/earthRadiusCurvature  
128         minvalue: 6250000.0  
129         maxvalue: 6450000.0  
130     - variable:  
131         name: MetaData/geoidUndulation  
132         minvalue: -200.0  
133         maxvalue: 200.0  
134     - filter: RObsserror  
135         variable: refractivity  
136         errmodel: NCEP  
137         apply at iterations: 0,1,2  
138     - filter: Background Check  
139         threshold: 3.0  
140         apply at iterations: 0,1,2
```

## Domain Check:

[ufo/src/ufo/filters/ObsDomainCheck.cc](#)

This filter retains all observations selected by the where statement and rejects all others; here, the filter used to control the maximum height one wants to assimilate RO observation.

**Robsserror** (errmode: NCEP): a RO specific filter.

# Surface pressure

```
201  obs operator:
202      name: SfcPCorrected
203      da_psfc_scheme: UKMO # or WRFDA
204  linear obs operator:
205      name: Identity
206      observation alias file: obsop_name_map.yaml
207  obs filters:
208  - filter: PreQC
209      maxvalue: 3
210  - filter: Difference Check
211      reference: MetaData/stationElevation
212      value: GeoVals/surface_altitude
213      threshold: 200.0
214  - filter: Background Check
215      threshold: 3.0
216      apply at iterations: 0,1
```

**SfcPCorrected:** correct the computation of surface atmospheric pressure at a location for the discrepancy in model topography at the observation location.

## Difference Check :

This filter will compare the difference between a reference variable and a second variable and assign a QC flag if the difference is outside of a prescribed range.

- Observation Operators in UFO
  - Introduction
  - Categorical
  - Composite
  - Vertical Interpolation
  - Atmosphere Vertical Layer Interpolation
  - Averaging Kernel Operator
  - Community Radiative Transfer Model (CRTM)
  - RTTOV
  - Aerosol Optical Depth (AOD)
  - Aerosol Optical Depth (AOD) for dust (Met Office)
  - GNSS RO bending angle (NBAM)
  - GNSS RO bending angle (ROPP 1D)
  - GNSS RO bending angle (ROPP 2D)
  - GNSS RO bending angle (MetOffice)
  - GNSS RO refractivity (NCEP)
  - Ground Based GNSS observation operator (Met Office)
  - Identity observation operator
  - In situ particulate matter (PM) operator

References:

<https://jointcenterforsatellitedataassimilation-jedi-docs.readthedocs-hosted.com/en/latest/inside/jedi-components/ufo/index.html>

- In situ particulate matter (PM) operator
- Radar Radial Velocity
- Scatterometer neutral wind (Met Office)
- SfcPCorrected
- Background Error Vertical Interpolation
- Background Error Identity
- Total column water vapour
- Absolute dynamic topography
- Cool skin
- Insitu temperature
- Vertical Interpolation
- Sea ice thickness
- Sea ice fraction
- Profile Average operator

- Generic QC Filters
  - Bounds Check Filter
  - Background Check Filter
  - Bayesian Background Check Filter
  - Bayesian Background QC Flags filter
  - Bayesian Whole Report Filter
  - Domain Check Filter
  - BlackList Filter
  - RejectList Filter
  - AcceptList Filter
  - Perform Action Filter
  - Thinning Filter
  - Gaussian Thinning Filter
  - Temporal Thinning Filter
  - Poisson Disk Thinning Filter
  - Stuck Check Filter
  - Difference Check Filter
  - Derivative Check Filter
  - Spike and Step Check Filter
  - Track Check Filter
  - Ship Track Check Filter
  - Met Office Buddy Check Filter
  - History Check Filter
  - Variable Assignment Filter
  - Create Diagnostic Flags Filter
  - RTTOV 1D-Var Check (RTTOVOneDVar) Filter
  - ModelOb Threshold Filter
  - Satwind Inversion Filter
  - GNSS-RO 1D-Var Check (GNSSROOneDVar) Filter
  - Model Best Fit Pressure Filter
  - Process AMV QI
  - Satname Filter
  - Met Office Duplicate Check Filter

- Profile Specific QC Filters
  - Profile Background Check
  - Profile Few Observations Check
  - Profile Unflag Observations Check
  - Impact Height Check
  - Conventional Profile Processing
  - Ocean Vertical Stability Check
  - Average Observations to Model Levels
- Additional QC Filter Options
  - Where Statement
  - ObsFunction and ObsDiagnostic Suffixes
  - Filter Actions
  - Outer Loop Iterations

# Quality Control in UFO:

## References:

<https://jointcenterforsatellitedataassimilation-jedi-docs.readthedocs-hosted.com/en/latest/inside/jedi-components/ufo/qcfilters/index.html>

**./mpasjedi\_variational.x ./3denvar.yaml ./mpasjedi\_3denvar.log**

**mpasjedi\_3denvar.log:**

**OOPS\_STATS Run end** - Runtime: 134.43 sec, Memory: total: 23.00 Gb, per task: min = 594.06 Mb, max = 1101.14 Mb

Run: Finishing oops::Variational<MPAS, UFO and IODA observations> with status = 0

**OOPS Ending** 2023-09-15 09:24:26 (UTC-0600)

**Output feedback files:**

obsout\_da\_aircraft.h5      obsout\_da\_gnssrorefncep.h5  
obsout\_da\_satwind.h5      obsout\_da\_sondes.h5  
obsout\_da\_sfc.h5

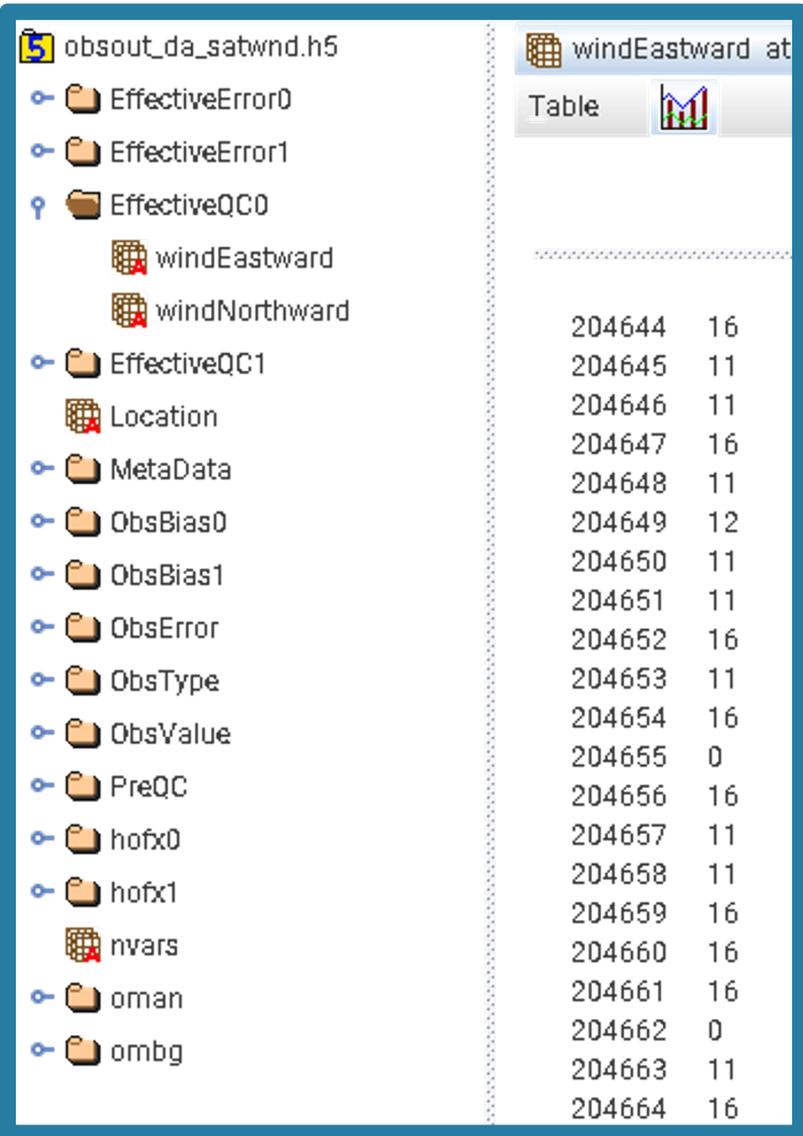
# Overview

- Assimilating conventional observation
  - YAML settings
  - QC settings used in practice section and corresponding code
- **Results diagnostics**

obsout\_da\_satwind.h5

- EffectiveError0
- EffectiveError1
- EffectiveError2
- EffectiveQC0
- EffectiveQC1
- EffectiveQC2
- Location
- MetaData
- ObsBias0
- ObsBias1
- ObsBias2
- ObsError
- ObsType
- ObsValue
- PreQC
- hofx0
- hofx1
- hofx2
- nvars
- oman
- windEastward
- windNorthward
- ombg
- windEastward
- windNorthward

Group Name	Meaning	From:
ObsValue	For when a specific variable is a direct observed/radiance or surface weather observations of airTe	<a href="https://jointcenterforsatellitedataassimilation-jedi-docs.readthedocs-hosted.com/en/latest/inside/conventions/objects_and_layouts.html#group-based-data-organization">https://jointcenterforsatellitedataassimilation-jedi-docs.readthedocs-hosted.com/en/latest/inside/conventions/objects_and_layouts.html#group-based-data-organization</a>
DerivedObsValue	For when a variable is derived from the direct/me brightnessTemperature or relativeHumidity.	
EffectiveObsValue	This group name is UFO's computed effective observation value after bias correction or adjustment (for example adjusting observation value with respect to model height).	
Metadata	Use this group name for ancillary data that provides added description to an ObsValue in general. Simple examples are stationElevation and airTemperature to provide the added information needed for the altitude for which a surface temperature observation was made. Similarly, the airPressure, altitude, and eastwardWind for radiosonde or satellite atmospheric motion vector winds.	
HofX	This is the end product of the forward operator, known in DA as H(x) or HofX.	
ObsError	This group name denotes Observation Errors that arrive from upstream data sources. The values are usually considered to be the standard deviation of observation errors.	
EffectiveError	This group name is UFO's computed effective ObsError value after any number of QC steps that may "inflate" or alter the ObsError. In JEDI, this final value given to the DA means that ObsValues with large relative EffectiveError have less impact than relatively small EffectiveError values.	
QualityMarker (formerly PreQC)	This group name is for legacy systems in which quality markers might be assigned by various data pre-processing software before creating the IODA files/streams for use in UFO.	
EffectiveQC	This group name is UFO's final QC value given by the QCflags.h enumeration of values associated with various QC rejection or other steps. Examples include Bounds Check, Domain Check, Background Check, etc.	



obsout\_da\_satwnd.h5

- EffectiveError0
- EffectiveError1
- EffectiveQC0
  - windEastward
  - windNorthward
- EffectiveQC1
- Location
- MetaData
- ObsBias0
- ObsBias1
- ObsError
- ObsType
- ObsValue
- PreQC
- hofx0
- hofx1
- nvars
- oman
- ombg

windEastward at

Table

204644	16
204645	11
204646	11
204647	16
204648	11
204649	12
204650	11
204651	11
204652	16
204653	11
204654	16
204655	0
204656	16
204657	11
204658	11
204659	16
204660	16
204661	16
204662	0
204663	11
204664	16

```
constexpr int pass = 0; // we like that one!  
constexpr int passive = 1; // H(x) is computed (for monitoring, BC...) but obs not assimilated  
// Single digit values reserved for DA use.  
// For now only 0, 1 and >1 are used but keeping space for other potential use cases.  
  
// Actual rejection flags  
constexpr int missing = 10; // missing values prevent use of observation  
constexpr int preQC = 11; // observation rejected by pre-processing  
constexpr int bounds = 12; // observation value out of bounds  
constexpr int domain = 13; // observation not within domain of use  
constexpr int black = 14; // observation black listed  
constexpr int Hfailed = 15; // H(x) computation failed  
constexpr int thinned = 16; // observation removed due to thinning  
constexpr int diffref = 17; // metadata too far from reference  
constexpr int clw = 18; // observation removed due to cloud field  
constexpr int fguess = 19; // observation too far from guess  
constexpr int seaice = 20; // observation based sea ice detection, also flags land points  
constexpr int track = 21; // observation removed as inconsistent with the rest of track  
constexpr int buddy = 22; // observation rejected by the buddy check  
constexpr int derivative = 23; // observation removed due to metadata derivative value  
constexpr int profile = 24; // observation rejected by at least one profile QC check  
constexpr int onedvar = 25; // observation failed to converge in 1dvar check  
constexpr int bayesianQC = 26; // observation failed due to Bayesian background check  
constexpr int modelobthresh = 27; // observation failed modelob threshold check  
constexpr int history = 28; // observation failed when compared with historical data  
constexpr int processed = 29; // observation processed but deliberately H(x) not calculated
```

# Check log file:

```
QC SfcPCorrected stationPressure: 66147 missing values.  
QC SfcPCorrected stationPressure: 549 rejected by pre QC.  
QC SfcPCorrected stationPressure: 533 rejected by first-guess check.  
QC SfcPCorrected stationPressure: 13122 rejected by difference check.  
QC SfcPCorrected stationPressure: 54233 passed out of 134584 observations.
```

```
QC Satwnd windEastward: 413874 rejected by pre QC.  
QC Satwnd windEastward: 4282 out of bounds.  
QC Satwnd windEastward: 170237 removed by thinning.  
QC Satwnd windEastward: 176 rejected by first-guess check.  
QC Satwnd windEastward: 7468 passed out of 596037 observations.
```

```
Quadratic cost function: J ( 1) = 507631.5061956716  
Quadratic cost function: Jb ( 1) = 6.828370375967046  
Quadratic cost function: JoJc( 1) = 507624.6778252956  
Quadratic cost function: J ( 2) = 495129.1315379007  
Quadratic cost function: Jb ( 2) = 39.53971478609463  
Quadratic cost function: JoJc( 2) = 495089.5918231146  
Quadratic cost function: J ( 3) = 478221.3655824636
```

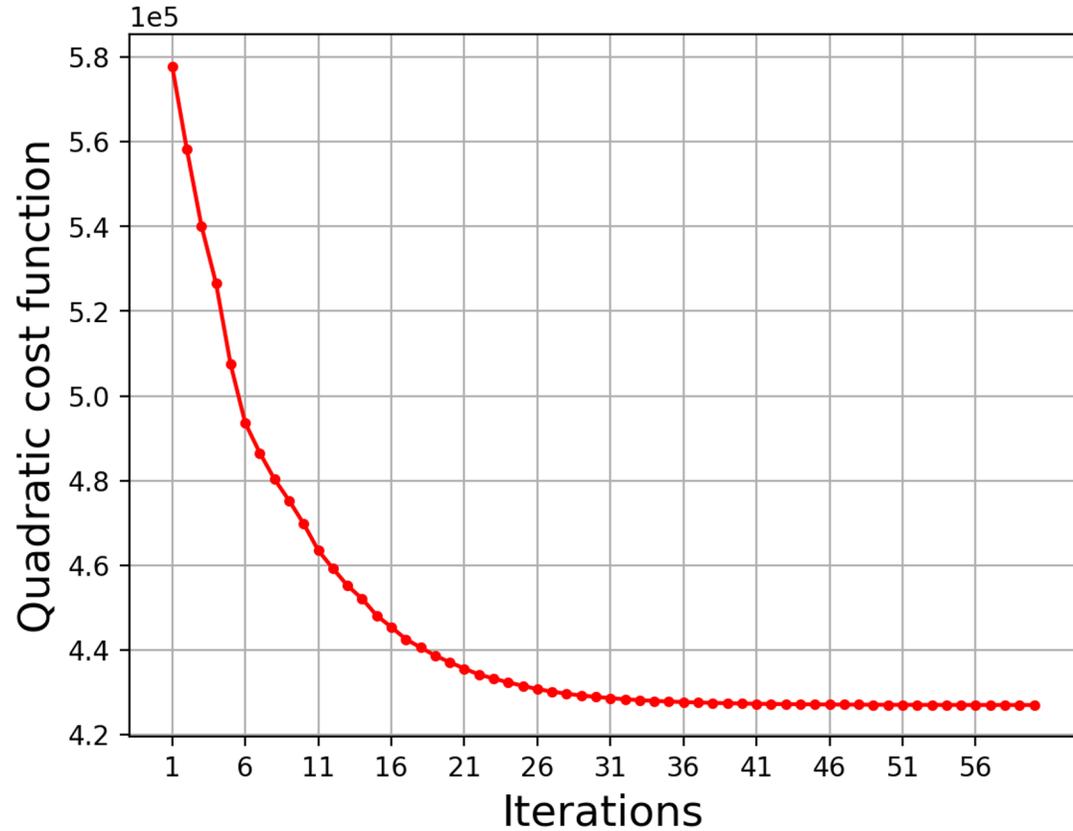
.....

```
Norm reduction ( 1) = 1.280374518688759  
Norm reduction ( 2) = 0.9192503145984233  
Norm reduction ( 3) = 0.8992375745724203  
Norm reduction ( 4) = 0.8075275442766622  
Norm reduction ( 5) = 0.6653240040986598
```

.....

# Check cost function and norm reduction:

plot\_cost\_grad\_tut.py

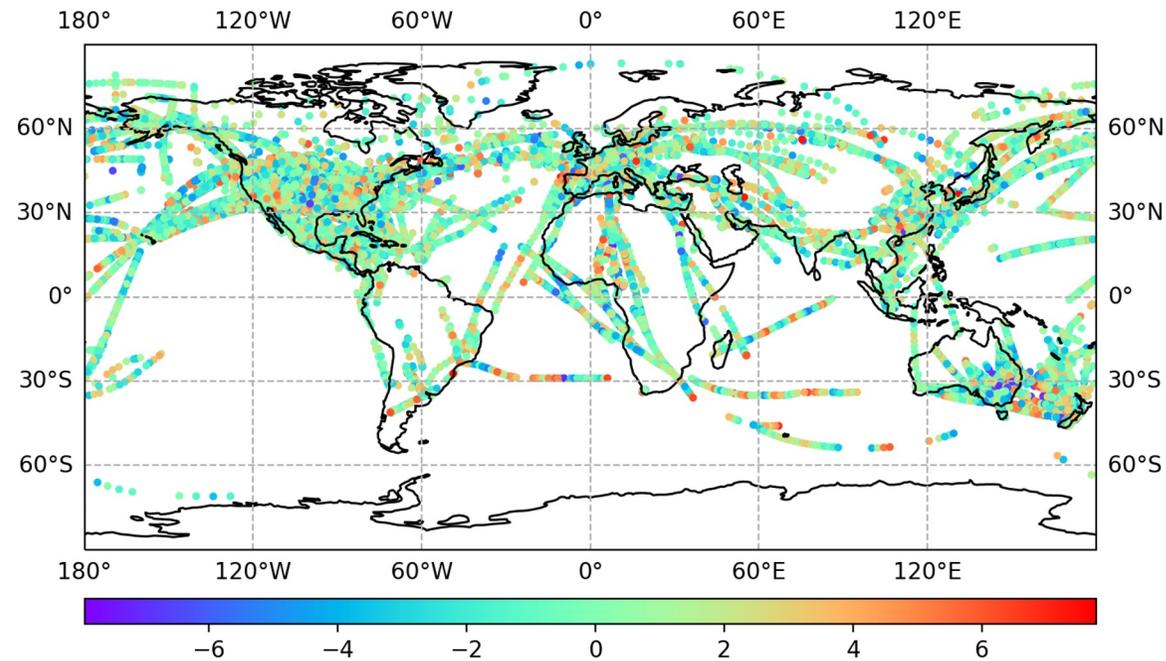


# Check OMB OMA figures:

graphics/standalone/plot\_diag.py  
plot\_diag\_omboma\_tut.py

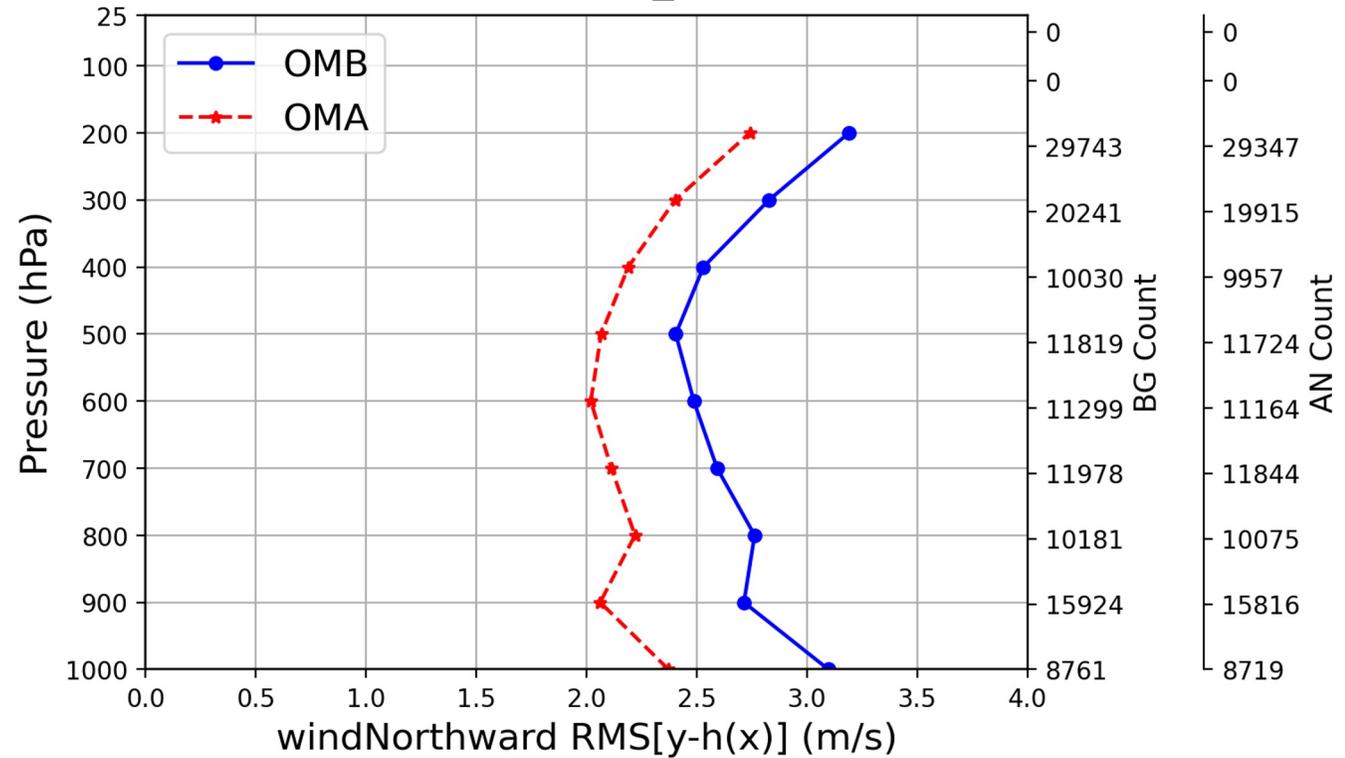
## OMA distribution

aircraft windNorthward m/s nlocs:128561 nflight:2379



## RMS of OMB/OMA profile

Aircraft wind\_Northward



Questions?